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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS THE DESIGNATED/ELECTED OFFICE

In re: Patent application of

Michael E. Hirsch et al

U.S. Application No.: 10/828,870

Filed: April 20, 2004

For: Non-defeatable fluorescent adapter for

incandescent fixture

Group Art Unit: 2875

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. § 1.56 and in accordance with 37 C.F.R. §§ 1.97-1.98, the Applicant attaches hereto a completed PTO Form 1449 (modified).

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date indicated below, with sufficient postage, as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1460.

DATE:

PHIP\391268\1

IDENTIFICATION OF TIME OF FILING THIS INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. Accordingly, no certification, petition, or fee is required. However, if a fee is due, please charge, or credit any overcharge to, Deposit Account No. 50-0573.

IDENTIFICATION OF REFERENCES

U.S. Documents 6,494,730

It is requested that the Examiner review the above-identified reference and make it of record in the instant application as required by M.P.E.P. § 609. It is also requested that the Examiner initial the enclosed duplicate substitute form 1449 and return one copy to the Applicant's undersigned representative.

The reference listed in this Information Disclosure Statement comprises the most pertinent prior art known to Applicants and their attorneys as of the date hereof. This Information Disclosure Statement should not be construed as a representation that the cited reference is material or that no better art exists.

Respectfully submitted,

Michael E. Hirsch et al.

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ATTY. DOCKET NO. 196209 (8287-69 US) SERIAL NO. 10/828, 870

APPLICANT:

Michael E. Hirsch, et al.

FILING DATE

GROUP

April 20, 2004

2875

U.S. PATENT DOCUMENTS

EXAM INER INITI AL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	AA	6,494,730	December 17, 2002	Yan	439	226	September 17, 2001
	AB						
	AC						
	AD						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
AE							
AF							
AG							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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DATE CONSIDERED	
	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.